PREFACE

The purpose of this document is to provide information about the Kentucky Board of Nursing’s standards, criteria, policies, guidelines, nursing laws, and regulations for mandatory continuing education/competency for nurses in Kentucky.

When the term “Board” is used, it refers to the Kentucky Board of Nursing.

When the term “Continuing Education” appears, the reference is to mandatory continuing education for nurses.

Each section of this document contains standards to be met, forms, and copies of relevant Kentucky administrative regulations.

All forms may be duplicated or downloaded from the KBN website at http://kbn.ky.gov.

Kentucky Nursing Laws may be accessed and downloaded from the KBN website at http://kbn.ky.gov/laws/

Kentucky Board of Nursing Administrative Regulations may be accessed and downloaded from: http://www.lrc.state.ky.us/kar/TITLE201.htm#chp020
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CONTINUING EDUCATION IN KENTUCKY

HISTORY
To assure the public that each nurse licensed in the Commonwealth of Kentucky has reasonably current knowledge of the nursing science and skills needed to provide for the health and safety of persons receiving nursing care, the 1978 General Assembly enacted a continuing education requirement for licensure renewal that became effective in 1982.

PURPOSE
As stated in the Kentucky Nursing Laws (KRS 314), the purpose of mandatory continuing education is to require evidence of the nurse’s efforts to update his/her knowledge of nursing science and to maintain or develop new nursing skills.

Therefore, any approval granted to a continuing education provider shall be based upon evidence of the ability to plan learning activities that present current nursing content that supports competent judgments and decisions in nursing practice, nursing administration, nursing education, and/or nursing research relevant to the performance of those acts for which nurses are licensed. Content classified as “continuing education” extends beyond the nurse’s knowledge from his/her basic prelicensure program.

CE REQUIREMENTS FOR LICENSURE RENEWAL
Pursuant to Administrative Regulation 201 KAR 20:215, validation of CE/competency must include one of the following:

1. Proof of earning 14 approved contact hours, OR
2. Proof of earning 7 approved contact hours, PLUS a nursing employment evaluation that is satisfactory for continued employment. Evaluation must be signed by the nurse’s supervisor and include the employer’s name, address, and phone number. The evaluation must cover at least 6 months of the earning period (November 1, 2018 through October 31, 2019); OR
3. Current national certification or recertification related to the nurse’s practice role in effect during the entire period or initially earned or renewed during the earning period (does not include clinical certifications such as ACLS, PALS, NRP, etc…), OR
4. Successful completion of a postlicensure academic course at a college, university, or postsecondary vocational institution. Must be relevant to nursing practice (e.g. nursing course designated by a nursing course number and beyond the prelicensure curriculum) OR an academic course applicable to the nurse’s role and beyond the prelicensure curriculum. The course must have been completed during the earning period with an awarded grade of “C” or better, or a grade of “pass” on a pass-fail grading system. Calculation of contact hours: One (1) semester or trimester hour of academic credit = 15 contact hours; One (1) quarter hour of academic credit = 12 contact hours. May request course review for approval of applicable nursing content pursuant to 201 KAR 20:215 Section 7; OR
5. Participation as a preceptor for at least one nursing student or new employee. Must be for at least 120 hours, have a one-to-one relationship with student or employee, may precept more than one student during the 120 hours. Preceptorship shall be evidenced by written documentation from the educational institution or preceptor’s supervisor; OR
6. Publication of an article in a peer-reviewed health-related journal; OR
7. A nursing continuing education presentation that is designed and developed by the presenter, presented to nurses or other health professionals, is evidenced by a program brochure, course syllabi, or a letter from the offering provider identifying the licensee as the presenter of the offering, and offered by a provider approved pursuant to 201 KAR 20:220. The number of contact hours earned shall be twice the number of content hours offered to presentation attendees; OR
8. Completion of a nursing research project as principal investigator, coinvestigator, or project director. Must be qualitative or quantitative in nature, utilize research methodology, and increases knowledge resulting in improved outcomes or changes in behavior. Submission must include a project abstract and a summary of the findings.

“CEU” vs “CONTACT HOUR”

Kentucky and most nursing continuing education providers require offerings to be awarded in contact hours. Contact hours refer to the stated amount of time an individual was present during a course, engaged in learning activities. One contact hour is equivalent to 50 minutes of clock time. Contact hours shall be calculated by taking the total number of minutes that the participants will be engaged in the learning activities, excluding breaks, and divide by 50. Partial hours are permissible after one contact hour is earned.

For years, there has been great confusion between the uses of the terms “CEU” and “Contact Hour.” Continuing Education Unit (CEU) is the term used as the unit of measurement by colleges and universities to designate 10 contact hours. The terms “contact hour” and “CEU” cannot be used interchangeably.


LICENSE RENEWAL PROCESS

As a prerequisite for license renewal, all individuals licensed under provisions of Chapter 314 shall be required to attest to the completion of continuing education requirements of fourteen (14) contact hours in a given licensure period as prescribed in regulations promulgated by the Board.

KBN has an annual renewal process for all licensees. The CE/competency earning period is the same as the licensure period (November 1 through October 31) of each year. Licensees who recently reinstated a lapsed license should refer to the KBN website: http://kbn.ky.gov or contact the KBN office for information on required CE/competency for reinstatement.

[Reference: Kentucky Nursing Laws — 314.073, Continuing competency requirements], http://kbn.ky.gov/laws/

CONTINUING EDUCATION AUDIT

Following each licensure renewal period, individual licensees (selected by random audit) will be required to upload and submit documented evidence validating continuing education/competency via CE Broker. Verification of CE/competency earning is not otherwise reported to the Board, unless requested.

It is imperative that individual licensees retain all copies of certificates of attendance for a minimum of five (5) years following the licensure period (grade reports, pass—fail certificates, or other documents) verifying successful completion of approved continuing education offerings and/or competency. Certificates for mandatory CE requirements (e.g. Domestic Violence and Pediatric Abusive Head Trauma) should be retained for as long as an active Kentucky nursing license is held.

DOMESTIC VIOLENCE

KRS 194A.540 mandates domestic violence training requirements for multiple individuals and groups involved in the potential prevention, intervention, or response to domestic violence situations. The bill contains the following provisions for nurses licensed in Kentucky:

(2) The course shall include the dynamics of domestic violence, effects of domestic violence on adults and child victims, legal remedies for protection, lethality and risk issues, model protocols for addressing domestic violence, available community resources and victim services, and reporting requirements. The training shall be developed in consultation with legal, victim services, victim advocacy, and mental health professionals with an expertise in domestic violence.

(3) No later than June 30, 1999, every health care or mental health professional licensed or certified pursuant to KRS Chapters 309, 311, 319, 335, or 314 on the effective date of this Act shall successfully complete a 3-hour training course which meets the requirements of subsection (2) of this section. Persons who are granted licensure or certification after the effective date of this Act shall successfully complete the training within 3 years of the date of initial licensure or certification.

As outlined within statute, all nurses with active licensure status after July 15, 1996 have a mandatory requirement to earn 3 contact hours of KBN-approved domestic violence CE. Nurses have three (3) years from initial licensure to earn this requirement. This is a one-time earning requirement. Compliance monitoring includes random audits that started in January 2000. Nurses should retain certificates of attendance/completion indefinitely. Copies of CE certificates of completion should not be submitted to KBN unless requested.

Domestic Violence content has been included within the curriculum for graduates of Kentucky Programs of Nursing as of May 1998, meaning that individuals who graduate from a Kentucky nursing program after May 1998 have met the requirement and are not required to take additional CE on the subject.

Any approved nursing CE provider that would like to offer Domestic Violence continuing education should refer to KRS 194A.540 in the development of the offering. KRS 194A.540 can be accessed at the following link: http://www.lrc.ky.gov/Statutes/chapter.aspx?id=38056. For any additional information or questions regarding KRS 194A.540, please contact the Cabinet for Health and Family Services.

PEDIATRIC ABUSIVE HEAD TRAUMA
(Shaken Baby Syndrome)

KRS 314.073(6) mandates pediatric abusive head trauma requirements for all practitioners licensed under KRS Chapter 314. Nurses are required to complete at least one and one-half (1.5) contact hours covering the recognition and prevention of pediatric abusive head trauma, as defined in KRS 620.020(8).

Nurses have three years from the date of licensure to complete the course. This is a one-time earning requirement. Nurses should retain certificates of completion indefinitely. Copies of CE certificates of completion should not be submitted to the KBN unless requested.

Pediatric Abusive Head Trauma content has been included within the curriculum for graduates of Kentucky Programs of Nursing as of December 2011, meaning that individuals who graduate from a Kentucky nursing program after December 2011 have met this requirement and are not required to take additional CE on the subject.

PHARMACOLOGY

Advanced practice registered nurses (APRNs) are required to earn five (5) contact hours of approved continuing education in pharmacology each licensure period. CE certificates should reflect specific pharmacology contact hours awarded. To qualify as pharmacology, content shall include drug-specific information, safe prescribing practices, safe medication administration, prescribing methodologies, new regulations, or similar topics. Objectives for the contact hours related to pharmacology shall be identified. Casual mention of medications or medical treatments shall not qualify.

APRNs with a CAPA-CS: Pursuant to 201 KAR 20:215(5)(b), of the five (5) approved pharmacology contact hours required for APRN licensure renewal, one and one-half (1.5) of these approved contact hours must be on the use of KASPER, pain management, or addiction disorders each licensure period. These hours may count as part of the required five (5) pharmacology contact hours.

APRNs with a DEA-X Registration: Pursuant to 201 KAR 20:065, an APRN who has obtained a DEA-X registration shall complete a total of four (4) contact hours annually in addiction disorders, which shall include 1.5 hours in addiction disorder pharmacology CE. The same CE certificates may be applied to all three of the requirements listed above.

A provider shall designate and publish the number of hours of any portion of an offering dedicated to the pharmacology requirement. [Reference: 201 KAR 20:215. Continuing competency requirements. http://www.lrc.state.ky.us/kar/TITLE201.htm#chp020]

SEXUAL ASSAULT NURSE EXAMINER (SANE)

Credentialed Sexual Assault Nurse Examiners (SANE) must earn 5 contact hours of approved sexual assault continuing education each licensure period required by 201 KAR 20:411, Section 8. Forensic medicine or domestic violence CE will meet this requirement. These hours count as part of the CE requirement for the period in which they are earned. [Reference: 201 KAR 20:215. Continuing competency requirements. http://www.lrc.state.ky.us/kar/TITLE201.htm#chp020]

INTRAVENOUS (IV) THERAPY

Effective September 15, 2004, KBN approved the Kentucky Administrative Regulation, 201 KAR 20:490, governing licensed practical nurse intravenous (IV) therapy scope of practice. The regulation was updated, effective November 2, 2018, and identifies IV therapy functions that are recognized as being within the current scope of LPN practice, as well as identifies functions that will be an expansion of that practice.

Prior to performing intravenous (IV) therapy, the licensed practical nurse (LPN) must complete education and training related to the scope of IV therapy for an LPN. This education and training must be obtained through:
(a) A prelicensure program of nursing for individuals admitted to the program after September 15, 2019; or
(b) An institution, practice setting, or continuing education provider that has in place a written instructional program and a competency validation mechanism that includes a process for evaluation and documentation of an LPN’s demonstration of the knowledge, skills, and abilities related to the safe administration of IV therapy. The LPN shall receive and maintain written documentation of completion of the instructional program and competency validation.

The education and training programs mentioned above must be based on "Policies and Procedures for Infusion Therapy" and the "Infusion Therapy: Standards of Practice" and shall include the following components:

- Legal considerations and risk management issues;
- Related anatomy and physiology including fluid and electrolyte balance;
- Principles of pharmacology as related to infusion therapy;
- Infusion equipment and preparation;
- Principles and procedures for administration of solutions and medication via intravenous route including transfusion therapy and parenteral nutrition;
- Principles and procedures for site maintenance for a peripheral venous access device and a central venous access device;
- Assessment of and appropriate interventions for complications related to infusion therapy; and
• Demonstration and validation of competency for infusion therapy procedures

An LPN who has met the education and training requirements may perform select functions except as limited and under supervision as stated in the regulation. The regulation requires that the education and training of LPNs who perform IV therapy contain specific components. For questions regarding LPN intravenous therapy scope of practice, please refer to the Kentucky Administrative Regulation, 201 KAR 20:490, the Advisory Opinion Statement #3 concerning Recommended Course Content Infusion Therapy for Registered Nurses and Licensed Practical Nurses, and/or contact the Board’s Nursing Practice Consultant by phone at 502-429-3320 or 800-305-2042. [Reference: 201 KAR 20:490. Licensed practical nurse infusion therapy scope of practice. http://www.lrc.state.ky.us/kar/TITLE201.htm#chp020]

**APPROVAL AS A CE PROVIDER**

A provider of continuing education may be any individual, organization, or entity approved by the KBN. A potential provider should apply to the KBN for approval in accordance with regulatory requirements outlined in 201 KAR 20:220. Nursing continuing education provider approval is required to offer continuing education. An application for initial provider approval may be submitted at any time. Retroactive approval shall not be granted.

**INITIAL APPROVAL**

Submitting an Initial Provider Approval application is the first step in the approval process, and is required of all nursing continuing education providers for the Commonwealth of Kentucky. [Reference: Application for Provider Approval; 201 KAR 20:220. Nursing continuing education provider approval. http://www.lrc.state.ky.us/kar/TITLE201.htm#chp020]

**APPROVAL RENEWAL**

Provider approval should be renewed on or before **September 30th** of the year in which the current approval period expires. Continued provider approval is contingent upon evaluation of the provider’s past performance and current compliance with Board regulations, standards, and criteria for continuing education.

The following information must be submitted as part of a completed Application for Provider Renewal:

- Total number of offerings held during the reporting period
  - Number of participants, stratified by license type (RN and LPN)
- A listing of all courses offered during the reporting period
- Types of content-specific courses offered to the general public
- Any issues or concerns during the reporting period and how they were handled
- A sample copy of an offering announcement to validate essential information has been provided to participants (refer to Offering Announcement information)
- A copy of the nurse administrator’s CV or résumé, if a change in nurse administrator has occurred.

Approved CE providers must also submit a renewal fee of $100. Once renewed, a providership will expire in two years. **CE providers must maintain KBN approval to offer continuing education activities.**

KBN reserves the right to conduct a random audit of providers at any time. [Reference: Application for Provider Renewal; 201 KAR 20:220. Nursing continuing education provider approval. http://www.lrc.state.ky.us/kar/TITLE201.htm#chp020]

**DENIAL, REVOCATION, SUSPENSION, OR PROBATION OF APPROVAL**

The KBN may deny, revoke, suspend, or probate approval, or otherwise discipline any approved provider, continuing education activity, or other approved entity for just cause. [Reference: 201 KAR 20:220. Nursing continuing education provider approval. http://www.lrc.state.ky.us/kar/TITLE201.htm#chp020]

**DETERMINING CONTINUING EDUCATION**

It is the responsibility of the nurse administrator for a CE providership to plan, develop, implement, and evaluate
continuing education activities. The Kentucky Board of Nursing approves providers, not specific content. However, the Board provides guidelines in 201 KAR 20:220 that should be consulted when preparing a continuing education activity.

Organized learning activities shall be based upon a reasonable justification and need for the continuing education that enhances the quality, safety, and effectiveness of care provided by nurses, as well as contributes directly to the competence of a nurse.

The content of nursing continuing education shall be designed to present current theoretical knowledge to enhance and expand nursing skills, and promote competence in decision making.

Outcomes for continuing education activities shall be related to nursing practice and interventions, stated in clearly defined expected learner outcomes, and consistent with evidence of a need for the continuing education activity. The learner outcomes shall provide statements of observable behaviors that present a clear description of the competencies to be achieved by the learner.

Teaching methods shall be consistent with the content and learning outcomes and objectives, and shall reflect the use of adult learning principles. Activities of both the teacher and the learner shall be specified. Faculty for continuing education activities shall have documented expertise in the subject matter and experience in presenting to adult learners and facilitating adult learning.

The following are considered in-service education and shall not be considered as a continuing education activity:

- An activity that is part of an employing agency’s staff development program designed to provide information related to the work setting
- On the job training
- Orientation
- Basic cardiopulmonary resuscitation / basic life support (BLS/CPR)
- Equipment demonstration


The provider shall have a system for maintaining and retrieving the records of continuing education offering(s) and participant attendance that facilitates the verification of attendance, contact hours earned, and evaluation of the learning activity. Records shall be maintained in an accurate and confidential manner for a minimum of five years.

RECORDS NUMBERING SYSTEM

The KBN system for assigning provider offering numbers is designed to promote maintenance of accurate records and data retrieval. The following numerical sequence should be utilized for recordkeeping and placed on all offering announcements, advertisements, and CE certificates of completion:

| Category – Assigned Providership # | - | Expiration Date month-year | – | Specific Course Offering Number |

**Components of the CE Provider Records Numbering System**

**Provider Core Number:** A five-digit number assigned by the KBN constitutes the provider’s permanent core number and consists of the assigned providership category (X-XXXX) and assigned providership number (X-XXXX). This core number is assigned when an application for providership is approved by the KBN, is permanent, and remains constant from year to year. The provider core number is nontransferable, and shall be used to identify all communications, records, and/or reports of the provider.

a) **Category of Provider.** The first digit of the provider offering number shall identify the category of the
providership (X-XXXX-XX-XX-XXX).

1) “1” = Professional nursing organization whose membership is restricted to nursing (e.g. KNA, KSALPN, AORN, etc…).

2) “2” = Related nursing organizations whose membership includes non-nurses (e.g. KLN, EDNA, etc…) or are organized only on a state or local level.

3) “3” = Educational institution’s program of offering(s) of continuing education for nurses (e.g. school of nursing or department of continuing education for nursing).

4) “4” = Health service agency employer of nurses (e.g. health department, nursing home, hospital, etc…). 

5) “5” = Health related organizations; nonprofit and/or tax exempt organizations whose services are primarily education and/or research (e.g. American Cancer Society, American Diabetes Association). 

6) “6” = Commercial organizations. 

7) “7” = Other.

b) KBN-assigned Provider Number (Chronological Number). The second, third, fourth, and fifth digits of the provider’s core number shall be assigned by the Board (X-XXXX-XX-XX-XXX). This number is the unique identifier for the CE providership.

c) Expiration Date. The sixth, seventh, eighth, and ninth digits represent the month and year of the expiration date of providership approval (X-XXXX-XX-XX-XXX). For example, 12-19 (December 31, 2019). Providerships must be renewed every 2 years with expiration date December 31st of the renewal year.

d) Course Offering Number. The tenth, eleventh, and twelfth digits represent the specific course number assigned by the CE providership as a mechanism for providerships to track specific educational offerings (X-XXXX-XX-XX-XXX).

1) If an offering is repeated during the approval period, the provider may wish to use the same offering number assigned for the first presentation.

2) Revisions of the offering during the approval period should not require a change of the offering number.

Example offering number, which should be placed on all announcements, advertisements, CE certificates and maintained within CE Providership records: 3-0916-12-21-015

- Provider Category: 3
- KBN-assigned Provider Number: 0916
- Expiration date of Providership: 12-21 (December 31, 2021)
- Course Offering Number: 015

OFFERING RECORDS

For each offering that awards contact hour credit for continuing education, the provider shall keep the following information filed in a manner to facilitate data retrieval:

- Presentation schedule
- Name and credentials of presenter(s)
- Topic to be covered
- Times for meals and breaks, if applicable
- Teaching methods, with corresponding time frames, for each content area
- Learner outcomes
- Title, date, and location of the activity
- Name of the person responsible for coordinating and implementing the activity
- Purpose, documentation of planning, faculty, teaching, and evaluation methods
- Participant roster
- Summary of participant evaluations
- Number of contact hours awarded
- Master copy of certificate of completion awarded
- Identification of required instructional materials and references
PARTICIPANT ROSTER
The participant roster shall include the name, signature, and nursing license number of each individual who attends the offering. The roster should reflect the offering title, offering number, and date. The number of contact hours listed shall be accurate and earned by each nurse in accordance with KBN standards and criteria.

PARTICIPANT EVALUATIONS
Providers must have a clearly defined method for evaluating the offering. Evaluations must include:

- Participant appraisal of achievement of each outcome
- Teaching effectiveness of each presenter
- Relevance of content to expected outcomes
- Effectiveness of teaching methods
- Appropriateness of physical facilities

The participant evaluations must be summarized and this summary retained in the offering record. This summary of participant evaluations shall be reported to the KBN (at the time of the providership renewal) as a raw score when any offering evaluation summary reflects a greater than 20 percent (20%) below average ranking for any one evaluation item. A provider must also maintain an action plan with timelines for resolution of identified deficiencies.

Providers must also have a mechanism for periodic, systematic evaluation of the total program of educational activities.

OFFERING ANNOUNCEMENT (PRESENTATION SCHEDULE)
Participants shall be provided with essential information prior to registration in the form of an offering announcement. The following information must be included:

- Title/topic presented
- Target audience and any prerequisites
- Objectives of the CE offering (learner outcomes)
- Content Overview
- Presentation schedule (agenda), including meal/break times
- Presenters (name and credentials)
- Fee and refund policy
- Location, date, and time of offering
- Number of contact hours awarded
- KBN provider number
- Requirements for successful completion of CE activity, including statement of policy regarding candidates who fail to successfully complete the CE activity

CERTIFICATE OF COMPLETION
Participants shall be given a certificate of completion that contains the following information:

- Name of participant
- Offering title, date, and location
- Provider’s name, address, telephone number, KBN approval number, and expiration date of the providership
- Name and signature of the authorized CE provider representative (e.g. CE providership nurse administrator)
- Number of continuing education contact hours awarded

A certificate of completion shall be awarded only to those successfully meeting the learning requirements of the activity.

QUALITY ASSURANCE / AUDIT OF RECORDS
As part of the KBN’s quality assurance program for mandatory continuing education, the continuing education activity of approved providers shall be subject to an audit by the KBN. The audit may be a review of all provider activity or a random sampling.

1. The KBN or its designee may at any time during the continuing education year conduct an audit. At the discretion of the KBN, an audit may be conducted to: evaluate the performance and/or compliance of the CE providership with KBN’s standards, criteria and/or regulations, and/or to investigate complaints.

2. At the discretion of the KBN, any one, or all, or a combination of the following methods of auditing may be used to evaluate the CE provider’s educational unit and/or its continuing education activity:
   a) Structural audit – evaluation of resources and facilities.
   b) Process audit – evaluation of the planning process.
   c) Retrospective audit – evaluation of records and reports.
   d) Outcome audit – evaluation of offering presentations.

3. If an entity chooses neither to comply nor to cooperate with the audit process, KBN approval shall be immediately withdrawn.

**CHANGES IN PROVIDER INFORMATION**

Per 201 KAR 20:220 Nursing continuing education provider approval, Section 4(13)(a) A provider shall notify the board in writing within thirty (30) days of any changes in its administration, such as nurse administrator or alternate, mailing address, or telephone number. (b) Information relevant to the qualifications of the new nurse administrator (or alternate) as established in subsection (1)(b) of this section shall be sent to the board:

Nurse Administrator Qualifications (which also apply to an alternate nurse administrator):
(b) A nurse administrator shall:
  1. Hold a current license or privilege to practice;
  2. Have experience in adult education; and
  3. Hold a baccalaureate or higher degree, in nursing

**Providers should submit the following information to the KBN to notify of an administration change or update. This should be a formal letter:**

1. Providership name and contact information
2. Name of the CE Providership nurse administrator or alternate “on file” with the Kentucky Board of Nursing
3. Name, credentials, and curriculum vitae of the newly-appointed CE Providership nurse administrator or alternate
4. The effective date of the change
5. Contact information for the newly-appointed CE Providership nurse administrator or alternate

If a qualified nurse administrator is not available to serve in the capacity of the administrator, the provider shall not offer any continuing education activities until a qualified nurse administrator is appointed.

**CONTACT INFORMATION**

Questions concerning CE providerships and continuing education should be directed to Michelle Gary, Practice Assistant, Continuing Competency Coordinator at michellea.gary@ky.gov or 502-429-3333.